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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	10793-005-999	Total Pages	29
		First Named Inventor or Application Identifier			
		Yoichi MIKAMI			
		Express Mail Label No.	EV 335 855 975 US		
APPLICATION ELEMENTS <i>See MPEP chapters 600 concerning utility patent application contents.</i>		Address to: Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450			
<div>1. <input checked="" type="checkbox"/> Fee Transmittal Form [Total Pages 4] <i>(Submit an original, and a duplicate for fee processing)</i></div> <div>2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</div> <div>3. <input checked="" type="checkbox"/> Specification [Total Pages 25] <i>(preferred arrangement set forth below, MPEP 1503.01)</i><ul style="list-style-type: none">- Descriptive title of the Invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description of the Invention (including drawings, if filed)- Claim(s)- Abstract of the Disclosure</div> <div>4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets]</div> <div>5. <input type="checkbox"/> Oath or Declaration [Total Sheets]<ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div> <div>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div>		<div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div> <div>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i><ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies</div>			
		ACCOMPANYING APPLICATION PARTS			
		<div>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></div> <div>11. <input type="checkbox"/> English Translation Document (if applicable)</div> <div>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of Forms PTO-1449 and 892 from prior application</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent</div> <div>17. <input type="checkbox"/> Other:</div>			
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:					
<input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)		of prior application No.: 09/808,448 filed March 14, 2001, which claims priority of application no. 2000-087302, filed on March 27, 2000 in Japan.			
Prior application information:		Examiner:	Irene Marx	Group Art Unit:	1651
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number		<div style="border: 1px dashed black; padding: 5px; display: inline-block;">[Barcode]</div> 20583		<input type="checkbox"/> Correspondence address below	
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NY2: 1445390.1

- 5a. ☒ Priority of application no. application no. 2000-087302 filed on March 27, 2000 in Japan is claimed under 35 U.S.C. §119.
- 5b. ☒ The certified copy has been filed in prior application no. 09/808,448, filed March 14, 2001.
6. ☒ The prior application is assigned of record to YUKI GOSEI KOGYO CO., LTD.
- 7a. ☒ The Declaration and Power of Attorney has been filed in prior application no. 09/808,448, filed March 14, 2001.
- 7b. ☐ A Power of Attorney is enclosed.
8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
11. ☒ Additional information. **Claims 1-10 correspond to claims 4, 8, 12, 18, 20, 32, 34, 36, 38 and 40 of prior application no. 09/808,448, filed March 14, 2001 (Group IV of the Examiner's June 28, 2002 Restriction Requirement). The language of the original claims have been amended to correct informalities and/or editorial errors. No new matter has been added.**

Date July 8, 2003

Respectfully submitted,

Rachel J. Lin Reg. No. 51,098
for James G. Markey
 James G. Markey 31,636
 PENNIE & EDMONDS LLP (Reg. No.)
 1155 Avenue of the Americas
 New York, New York 10036-2711

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEPrior application: Examiner Irene MarxArt Unit 1651

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/808,448, filed on March 14, 2001, which claims priority of application no. 2000-087302 filed on March 27, 2000 in Japan

of Yoichi MIKAMI, Seiichiro MATSUMOTO, Yoshinori HAYASHI, and Toyoki SATO

(inventor(s) currently of record in prior application)

for METHOD OF PREPARING A GUANOSINE-GROUP COMPOUND AND AN INTERMEDIATE THEREOF

(title of invention)

1. ☒ The filing fee is calculated below:

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	10	- 20	0	\$18.00 each	\$ 0.00
Independent	1	- 3	0	\$84.00 each	\$ 0.00
Minimum Fee					\$ 750.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 750.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 375.00
Total Filing Fee					\$ 375.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Amend the specification by inserting before the first line the following sentence: This is a divisional of application no. 09/808,448, filed March 14, 2001, which claims priority of application no. 2000-087302 filed on March 27, 2000 in Japan.
- 4a. ☐ New formal drawings are enclosed.
- 4b. ☐ Informal drawings are enclosed.